

INTERNAL AUDIT PROGRESS REPORT

To: Resources & Finance Committee

Date: 29th November 2018

From: Chief Internal Auditor, LGSS

[T136]

1. **ISSUE**

- 1.1. To advise Members of the work of Internal Audit completed during the period July to October 2018, and the progress against the Internal Audit Plan.

2. **RECOMMENDATION**

- 2.1. That the Committee notes the progress made by Internal Audit in the delivery of the Audit Plan and the key findings.

3. **BACKGROUND/OPTIONS**

- 3.1. The role of Internal Audit is to provide the Resources and Finance Committee, and management, with independent assurance on the effectiveness of the internal control environment. Internal audit coverage is planned so that the focus is upon those areas and risks which will most impact upon the Council's ability to achieve its objectives.
- 3.2. The Internal audit Plan for 2018/19 was approved by this Committee in March 2018 after consultation with Service Leads and the Council's Corporate Management Team.
- 3.3. The format of the progress report has been amended in order to provide further oversight of the key audit findings, implementation of audit recommendations and feedback on the performance of the Internal Audit service. Since 1st April 2018, 36 actions from audit reports have been implemented by officers.

4. **ARGUMENTS/CONCLUSIONS**

- 4.1. The attached report (Appendix 1) informs Members on the progress to date against the Audit Plan.

5. **FINANCIAL IMPLICATIONS/EQUALITY IMPACT ASSESSMENT**

- 5.1. There are no additional financial implications arising from this report. An Equality Impact Assessment is not required.

6. **APPENDICES**

- Appendix 1 – Internal Audit Update Report – November 2018

<u>Background Documents</u>	<u>Location</u>	<u>Contact Officer</u>
None	LGSS, Room 207 The Grange Ely	Duncan Wilkinson, Chief Internal Auditor duncan.wilkinson@milton-keynes.gov.uk Rachel Ashley-Caunt Head of Internal Audit RAshley-Caunt@rutland.gov.uk