
INTERNAL AUDIT PROGRESS REPORT

To: Resources & Finance Committee

Date: 21st September 2017

From: Chief Internal Auditor, LGSS

[S113]

1. **ISSUE**

1.1. To advise Members of the work of Internal Audit completed during the period April 2017 to August 2017, and the progress against the Internal Audit Plan.

2. **RECOMMENDATION**

2.1. That the Committee notes the work undertaken by Internal Audit.

3. **BACKGROUND/OPTIONS**

3.1. The role of Internal Audit is to provide the Resources & Finance Committee, and management, with independent assurance on the effectiveness of the internal control environment. Internal audit coverage is planned so that the focus is upon those areas and risks which will most impact upon the Council's ability to achieve its objectives.

3.2. The Internal Audit Plan for 2017/18 was approved by this Committee on 03 April 2017 after consultation with Service Leads and the Council's Corporate Management Team.

3.3. The previous update was reported to the Committee on 20th July 2017.

4. **ARGUMENTS/CONCLUSIONS**

4.1. The attached report (Appendix 1) informs members on the progress to date against the audit plan.

5. **FINANCIAL IMPLICATIONS/EQUALITY IMPACT ASSESSMENT**

5.1. There are no additional financial implications arising from this report. An Equality Impact Assessment is not required.

6. **APPENDICES**

- Appendix 1 – Internal Audit Update Report 2017/18
- Appendix A – Internal Audit Plan
- Appendix B – Audit Definitions

<u>Background Documents</u>	<u>Location</u>	<u>Contact Officer</u>
None	LGSS, Room 207 The Grange Ely	Duncan Wilkinson, Chief Internal Auditor duncan.wilkinson@milton-keynes.gov.uk Rachel Ashley-Caunt Head of Internal Audit RAshley-Caunt@rutland.gov.uk Jonathan Tully Principal Audit Manager jonathantully@norwich.gov.uk Trevor Bowd Principal Auditor trevor.bowd@eastcambs.gov.uk