

Internal Audit Progress Report

To: Finance & Assets Committee

Date: 26th September 2019

From: Chief Internal Auditor, LGSS

[U63]

1. ISSUE

- 1.1. To advise Members of the work of Internal Audit completed during the period June 2019 to September 2019, and the progress against the Internal Audit Plan.

2. RECOMMENDATION

- 2.1. That the Committee notes the progress made by Internal Audit in the delivery of the Audit Plan and the key findings.

3. BACKGROUND/OPTIONS

- 3.1. The role of Internal Audit is to provide the Finance and Assets Committee, and management, with independent assurance on the effectiveness of the internal control environment. Internal audit coverage is planned so that the focus is upon those areas and risks which will most impact upon the Council's ability to achieve its objectives.
- 3.2. The Internal audit Plan for 2019/20 was approved in March 2019. At the time of reporting, 55% of assignments within the plan are either complete, in progress or in advanced planning stages. As such, the service is on track to achieve the target set for delivery of at least 90% of reports to draft or final stage by 31st March 2020.
- 3.3. Since June 2019, twelve actions arising from audit reports have been implemented by officers.

4. ARGUMENTS/CONCLUSIONS

- 4.1. The attached report (Appendix 1) informs Members on the progress to date against the Audit Plan.

5. FINANCIAL IMPLICATIONS/EQUALITY IMPACT ASSESSMENT

- 5.1. There are no additional financial implications arising from this report. An Equality Impact Assessment is not required.

6. APPENDICES

- Appendix 1 – Internal Audit Update Report – September 2019

<u>Background Documents</u>	<u>Location</u>	<u>Contact Officer</u>
None	LGSS, Room 207 The Grange Ely	Duncan Wilkinson, Chief Internal Auditor duncan.wilkinson@milton-keynes.gov.uk Rachel Ashley-Caunt Head of Internal Audit RAshley-Caunt@rutland.gov.uk